

**COMPUTER MATCHING AGREEMENT
BETWEEN
THE DEPARTMENT OF VETERANS AFFAIRS
AND
THE DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES
FOR
DISCLOSURE OF INFORMATION TO SUPPORT THE VETERAN AFFAIRS'
SEEK TO PREVENT FRAUD, WASTE, AND ABUSE INITIATIVE**

CMS Computer Matching Agreement No. 2024-21
HHS Computer Matching Agreement No. 2402

Effective Date: November 2, 2024
Expiration Date: May 1, 2026

I. PURPOSE, LEGAL AUTHORITIES, AND DEFINITIONS

A. Purpose

This Computer Matching Agreement (hereafter referred to as the "Agreement") establishes the terms, conditions, safeguards, and procedures under which the Department of Health and Human Services (HHS), Centers for Medicare & Medicaid Services (CMS) will provide certain data to the Department of Veterans Affairs (VA) that supports the VA's Seek to Prevent Fraud, Waste, and Abuse (STOP FWA) initiatives. The data will be provided from CMS' database of enrolled Medicare providers and suppliers, Provider Enrollment, Chain, and Ownership System (PECOS). Using PECOS data in a matching program for this purpose will provide VA with prompt access to extant information, using an efficient process that avoids the need to manually compare substantial numbers of data intensive files and that enables VA to leverage, instead of duplicating, the costly Advance Provider Screening (APS) process that CMS uses to check suitability of Medicare providers and generate the data in PECOS.

Under this matching program, VA internal and external providers will be matched against the database of Medicare providers and suppliers who have been revoked by CMS pursuant to 42 Code of Federal Regulations (C.F.R.) § 424.535. VA intends to review the information provided, perform additional validation, and if deemed appropriate, conduct further investigation or refer the cases to the VA Office of the Inspector General (OIG) for further investigation. Based on additional validation/investigation, should VA determine VA program requirements have been violated, VA intends to take action, or refer to OIG for action, against the VA internal and external providers. Such action may be premised on activities that are found to endanger patients of VA and/or evidence improper or erroneous billing practices that may have also occurred with respect to claims for health care provided to VA beneficiaries or for any other improper, fraudulent, negligent, wasteful and/or abusive actions. Actions VA may take include: terminating or modifying existing contractual or provider agreements; removal of provider from VA networks; stopping

referral of VA patients to the VA external providers; referring the VA internal and external providers to the OIG; performing pre-payment or post-payment reviews of claims paid or submitted; or taking disciplinary actions or removing, demoting, or suspending VA internal providers.

The Computer Matching and Privacy Protection Act of 1998 (CMPPA) (Public Law (Pub. L.) 100-503) amended the Privacy Act (5 United States Code (U.S.C.) § 552a) and requires the parties participating in a matching program to execute a written agreement specifying the terms and conditions under which the matching program will be conducted. VA has determined that matching the identity of VA internal and external providers against the CMS database of providers and suppliers who have been revoked by the Medicare program will constitute a "matching program" as defined in the Privacy Act at 5 U.S.C. § 552a(a)(8).

The VA Office of Management and the Veterans Health Administration (VHA) are the responsible VA components for this Agreement. VA will serve as the recipient agency as defined in 5 U.S.C. § 552a(a)(9). CMS is designated as the source agency as defined in 5 U.S.C. § 552a(a)(1) and is the agency disclosing match results to VA based on identifying information provided to CMS by VA. The responsible component for CMS is the Center for Program Integrity (CPI). CPI enters into this Agreement in its capacity as the component responsible for the enrollment of Medicare providers and suppliers.

The terms and conditions of this Agreement will be carried out by authorized employees and contractors of CMS and VA. CMS and VA are each a "Party" to this Agreement and are collectively referred to as "the Parties." By entering into this Agreement, the Parties agree to comply with the terms and conditions set forth herein, and with applicable law.

B. Legal Authorities

The following statutes and regulations govern or provide legal authority for the uses of data, including disclosures, under this Agreement:

This Agreement is executed pursuant to the Privacy Act (5 U.S.C. § 552a) and the regulations and guidance promulgated thereunder, Office of Management and Budget (OMB) Circular A-108 "Federal Agency Responsibilities for Review, Reporting, and Publication under the Privacy Act" published at 81 FR 94424 (Dec. 23, 2016), and OMB guidelines pertaining to computer matching published at 54 FR 25818 (June 19, 1989).

Title 38, United States Code, at § 7301(b), states that the primary function of the VHA is to provide a complete medical and hospital service for the care of eligible Veterans (38 U.S.C. § 7301(b)). In carrying out this function, including through contracts with external entities and providers, VA has an obligation to ensure providers furnish care that is appropriate and safe, meets or exceeds professional

standards for quality, and in the case of external providers, to ensure billing integrity and compliance with contractual terms.

C. Definitions

1. "Breach" is defined by OMB Memorandum M-17-12, Preparing for and Responding to a Breach of Personally Identifiable Information, January 3, 2017, as the loss of control, compromise, unauthorized disclosure, unauthorized acquisition, or any similar occurrence where (1) a person other than an Authorized User accesses or potentially accesses personally identifiable information (PII); or (2) an Authorized User accesses or potentially accesses PII for an other than authorized purpose;
2. "CMS" means the Centers for Medicare & Medicaid Services;
3. "HHS" means the United States Department of Health and Human Services;
4. "Medicare" means the health coverage program established under Title XVIII of the Social Security Act (42 U.S.C. §§ 1395 through 1395III);
5. "Incident" means an occurrence that (1) actually or imminently jeopardizes, without lawful authority, the integrity, confidentiality, or availability of information or an information system; or (2) constitutes a violation, or imminent threat of violation of, law, security policies, security procedures, or acceptable use policies (OMB Memorandum M-17-12- Preparing for and Responding to a Breach of Personally Identifiable Information (January 3, 2017));
6. "PII" or "Personally Identifiable Information" is defined in OMB M-17-12, January 3, 2017, and means information that can be used to distinguish or trace an individual's identity, either alone or in combination with other information that is linked or linkable to a specific individual;
7. "Proposing Official" is defined in VA Handbook 5021, Part I, Chapter 1, Section 4(j) Employee/Management Relations as a management official who issues a notice of proposed disciplinary or adverse action;
8. "Provider" is defined by 42 C.F.R. § 400.202 as a hospital, a Critical Access Hospital (CAH), a skilled nursing facility, a comprehensive outpatient rehabilitation facility, a home health agency, or a hospice that has in effect an agreement to participate in Medicare, or a clinic, a rehabilitation agency, or a public health agency that has in effect a similar agreement but only to furnish outpatient physical therapy or speech pathology services, or a community mental health center that has in effect a similar agreement but only to furnish partial hospitalization services;
9. "Recipient Agency" is defined by the Privacy Act (5 U.S.C. § 552a(a)(9)) and means any agency, or contractor thereof, receiving records contained in a system of records from a source agency for use in a matching program;

10. "Source Agency" is defined by the Privacy Act (5 U.S.C. § 552a(a)(11)) and means any agency that discloses records contained in a system of records to be used in a matching program, or any State or local government, or agency thereof, which discloses records to be used in a matching program;
11. "Supplier" is defined by 42 C.F.R. § 400.202 as a physician or other practitioner, or an entity other than a provider, that furnishes health care services under Medicare;
12. "SOR" or "System of Records" as defined by the Privacy Act at 5 U.S.C. § 552a(a)(5), means a group of any records under the control of any agency from which information about an individual is retrieved by the name of the individual or by some identifying number, symbol, or other identifying particular assigned to the individual;
13. "SORN" or "System of Records Notice" means a notice published in the Federal Register, providing notice of the existence and character of a system of records maintained by a federal agency, as required by the Privacy Act at 5 U.S.C. § 552a(e)(4);
14. "Tax Identification Number (TIN)" is defined as SSN, individual tax identification number (ITIN), or employer identification number (EIN);
15. "VA" means the United States Department of Veterans Affairs;
16. "VA External Provider" means a provider who is a non-VA employee provider, supplier or practitioner who provides medical services to VA beneficiaries outside of VA facilities; and
17. "VA Internal Provider" means a provider or practitioner who is a licensed independent practitioner (e.g., employee, contractor, fee basis and intermittent practitioners) of the VA, providing care in a VA facility, either compensated or uncompensated.

II. RESPONSIBILITIES OF THE PARTIES

A. VA will:

1. Provide CMS with electronic file(s) in a format defined by CMS, on a timeframe agreed upon by VA and CMS, no more than once quarterly. VA will provide CMS with VA's electronic files via an agreed upon electronic secure transfer file protocol. The electronic file(s) will include the data fields identified in Section V.C. of this Agreement.
2. Review the electronic file(s) returned by CMS containing the matched enrollment related data, perform additional validation, and if deemed appropriate, conduct further investigation or refer the cases to OIG for further investigation. Based on

additional validation/investigation if VA determines VA program requirements have been violated, VA intends to take action, or refer to OIG for action, against the VA internal and external providers. Such action may be premised on activities that are found to endanger patients of VA and/or evidence improper or erroneous billing practices that may have also occurred with respect to claims for health care provided to VA beneficiaries. The electronic file returned to VA from CMS will be stored on a secure network drive which has been given an authority to operate (ATO). VA will grant access to a limited number of people who have a need for the data.

3. Perform independent reviews for matched VA *external* providers returned by CMS, for any match VA determines may warrant action and was not referred to the VA OIG, such as reviewing the following: licensing information to determine if the external provider license is valid in accordance with licensing requirements; List of Excluded Individuals/Entities (LEIE) database to determine if providers and suppliers are excluded; court docket information for felony convictions to determine the appropriateness of continued participation in VA programs; provider billing practices to identify anomalies in VA data; and prescription records/Drug Enforcement Administration (DEA) registration information to identify potential issues related to treating Veterans. Actions VA may take include: terminating or modifying existing contractual agreements with the provider; removal of provider from VA networks; stopping referral of VA patients to the external providers; or performing pre-payment or post-payment reviews of claims paid or submitted.
4. Perform independent reviews for matched VA *internal* providers returned by CMS, for any match VA determines may warrant action and was not referred to the VA OIG, such as reviewing the following: licensing information to determine if the internal provider license is valid in accordance with licensing requirements; LEIE database to determine if providers and suppliers are excluded; court docket information for felony convictions to determine the appropriateness of continued participation in VA programs; and prescription records/DEA registration information to determine the appropriate action based upon the VA internal providers prescribing privileges within VA programs. VA will refer the results of any such VA reviews to the appropriate Proposing Official to determine whether any personnel actions are appropriate. VA will take appropriate action in accordance with VA Handbook 5021. Actions may include taking disciplinary actions or removing, separating, demoting, or suspending the VA internal providers.
5. Provide Congress and OMB with notice of this matching program and, upon completion of OMB's review, publish the required matching notice in the Federal Register (FR).

B. CMS will:

1. Use the VA-submitted electronic file(s) to conduct a data match against Medicare Provider enrollment records in PECOS and return matches of those providers who CMS has taken enforcement action against in the form of a revocation and are

currently under an emolument bar and have exhausted their appeal rights. In addition, CMS will also provide matches of those providers who CMS has revoked for felony convictions who are not currently under an emolument bar and whose appeals rights associated with the revocation have been exhausted. CMS will endeavor to deliver such matched records to VA within 30 days using the electronic secure transfer file protocol.

2. Conduct the match based on TIN and National Provider Identifier (NPI) provided by VA. Include all "for cause" revocations based on the authorities listed in 42 C.F.R. § 424.535 at (a)(2), (a)(3), (a)(4), (a)(7), (a)(8), (a)(9), (a)(12), (a)(13), (a)(14), (a)(15), (a)(17), (a)(18), (a)(19), (a)(20), (a)(21), and (a)(22); 2. non-compliance revocations based on § 424.535(a)(1) for the provider or supplier; and 3. on-site review revocations based on § 424.535(a)(5). Any new revocation authorities provided by law may be included in the match at the discretion of CMS.
3. Return to VA the data fields as identified in Section V.C. of this Agreement.

III. JUSTIFICATION AND ANTICIPATED RESULTS

A. Justification

The Parties to this Agreement have determined that a matching program is the most efficient, expeditious, and effective means for VA to identify providers and suppliers whose Medicare emolument has been revoked by CMS for reasons associated with the revocation authorities identified in Section II. B. 3. of this Agreement. This matching program will identify those CMS providers and suppliers who are also VA internal and external providers, and then extract information about those who have had their Medicare emolument revoked subsequent to any applicable appeals processes.

VA will use extracted provider information to perform additional validation and, if deemed appropriate, will conduct further investigation or refer the cases to VA OIG for further investigation. Based on additional validation/investigation, if VA determines that VA program requirements have been violated, VA intends to take action, or refer the cases to OIG for action, against the VA internal and external providers. Such action may be premised on activities that are found to endanger VA patients and/or evidence improper or erroneous billing practices that may have also occurred with respect to claims for health care provided to VA beneficiaries. Were this identification of persons of interest to be accomplished through other means, such as manual comparisons, it would be time consuming and not cost-effective.

B. Anticipated Results and Specific Estimate of Any Savings

VA will be able to identify internal and external providers who pose a potential risk to VA patients due to concerns of patient safety, as well as external providers who may be erroneously or abusively billing VA for healthcare services provided. Based

on results of independent reviews, VA will take action as appropriate, to include suspension, separation, dismissal, pre-payment and post-payment reviews, termination of contractual agreements, removal from networks, or referral to the OIG in cases where criminal activity is suspected.

Based on the cost benefit analysis included as Attachment 1, total costs for the matching program are estimated to be \$360,044 and total monetary benefits for the matching program are estimated to be \$17M in cost savings (costs avoided) for the 18-month period of the Agreement. The matching program does not involve avoiding or recovering improper payments; \$17.4M is the amount VA estimates it would cost to use contractors to perform a provider screening process similar to the APS process CMS uses which generates the adverse enrollment actions reflected in PECOS (which costs CMS more than \$232.3 million over a five-year period, to screen four times as many providers as VA needs to screen). \$17.4 million is approximately \$17 million more than the \$360,044 cost of conducting this matching program. In addition, not all anticipated benefits to VA are quantifiable, because some of the adverse actions that VA will take against VA providers as a result of this matching program will concern patient safety issues, which are a moral and ethical imperative, and therefore cannot be estimated in monetary terms. See Attachment 1.

IV. RECORDS DESCRIPTION

In accordance with legal authorities cited in Section I. B., VA will disclose the necessary identifying information about VA internal and external providers to CMS pursuant to the routine uses as set forth in the system of records notices for the following systems of records:

A. VA Systems of Records (SOR)

1. SOR 77VA10E2E, entitled "Health Care Provider Credentialing and Privileging Records-VA," last published in full at 85 FR 7395 (February 7, 2020). See routine uses 1 and 2.
2. SOR 23VAIONB3, entitled "Non-VA Care (Fee) Records-VA," last published in full at 80 FR 45590 (July 30, 2015). See routine uses 2 and 30.
3. SOR 02VA135, entitled "Applicants for Employment under Title 38, USC-VA," last published in full at 42 FR 49728 (Sept. 27, 1977) and updated at 51 FR 25969 (July 17, 1986), 55 FR 42534 (Oct. 19, 1990), and 58 FR 40852 (July 30, 1993). See routine uses 1 and 2 published at 42 FR 49728.
4. SOR 186VA10D, entitled "Community Care Provider Profile Management System," last published in full at 86 FR 6979 (January 25, 2021). See routine use 10.

B. CMS Systems of Records

1. Provider Enrollment Chain and Ownership System (PECOS), System No. 09-70-0532, last published in full at 71 FR 60536 (Oct. 13, 2006) and updated at 78 FR 32257 (May 29, 2013) and 83 FR 6591 (Feb. 14, 2018). See routine use 6 published at 71 FR 60536 and the unnumbered routine use published at 78 FR 32257.
2. National Plan and Provider Enumeration System (NPPES), System No. 09-70-0555, last published in full at 75 FR 30411 (June 1, 2010) and updated at 78 FR 32257 (May 29, 2013) and 83 FR 6591 (Feb. 14, 2018). See routine use 5 published at 75 FR 30411 and the unnumbered routine use published at 78 FR 32257.

C. Specified Data Elements

VA will provide CMS with electronic file(s) in a format defined by CMS containing identifying information required to match VA records with CMS records. Data fields will include one or more of the following elements:

1. Name of Provider/Business
2. Tax Identification number (TIN) [EIN, ITIN or SSN]
3. National Provider Identifier (NPI)
4. State(s) in which the provider is providing services
5. Specialty Code or Taxonomy Code

As discussed in 11.B. above, upon matching TIN or NPI, CMS will then provide VA the matched data elements above and the following additional fields:

1. NPI (for individuals) where VA provided a TIN
2. Current Enrollment Status
3. Current Enrollment Status Effective Date
4. Status Reason (PECOS codes used to denote the specific reason(s) on which the final revocation was based.)
5. All NPis associated with a revoked TIN to include all above fields (1-4) and Enrollment State, Specialty, Role, Enrollment Bar status, and Enrollment Bar Expiration Date (if applicable).

D. Number of Records Involved

VA will provide CMS a data file with information concerning up to approximately 2.5 million VA internal and external providers no more than once quarterly.

E. Frequency of Data Exchanges

CMS will, subject to available resources, complete the match no more than once quarterly.

F. Projected Starting and Completion Dates of the Matching Program

1. Effective Date- November 2, 2024
2. Expiration Date- May 1, 2026 (Expiration - May 1, 2027 if renewed for 1 year).

V. NOTICE PROCEDURES

A. Direct Notice

VA notifies internal providers that their information may be matched on the Optional Form 306, Direct Declaration of Federal Employment, OMB Control No. 3206-0182, and on the routine uses paragraph of VA Form 10-2850, Application for Physicians, Dentists, Podiatrists, Optometrists, and Chiropractors, OMB Control No. 2900-0205. Certain external providers under this matching program are notified their information may be matched in the Veterans Care Agreement.

1. CMS notifies individual providers and suppliers that their information may be used in matching programs by means of a Privacy Act statement on the following PECOS enrollment forms.
 - CMS-855A Medicare Enrollment Application for Institutional Providers
 - CMS-855B Medicare Enrollment Application for Clinics, Group Practices, and Certain Other Suppliers
 - CMS-8551 Medicare Enrollment Application for Physicians and Non Physician Practitioners
 - CMS-855R Medicare Enrollment Application for Reassignment of Medicare Benefits
 - CMS-855O Medicare Enrollment Application for Eligible Ordering and Referring Physicians and Non-physician Practitioners
 - CMS-855S Medicare Enrollment Application for Durable Medical
 - CMS-20134 Medicare Enrollment Application for Medicare Diabetes Prevention Program (MDPP) Suppliers

B. Constructive Notice

When direct notice to individuals is not feasible (e.g., when VA enters into a Veterans Care Agreement with a group practice or contracts with a third party to establish a provider network) indirect or constructive notice is afforded the individual by agency publication in the Federal Register of both the (1) applicable routine use notice, as required by subsection (e)(11) of the Privacy Act at 5 U.S.C. § 552(a); and (2) the proposed Federal Register match notice, as required by subsection (e)(12) of the Privacy Act, announcing the Agency's intent to conduct this computer matching program.

C. Periodic Notice

VA will provide subsequent notices to both internal and external providers as required. VA internal and external providers receiving payments are provided with periodic notices about matching activities during employee trainings, contract renewals, contract extensions and other means of communications (e.g. e-mails, direct mail).

VI. VERIFICATION PROCEDURES AND OPPORTUNITY TO CONTEST FINDINGS

A. Verification Procedures

VA will verify information obtained under this Agreement prior to initiating any action against an individual, including verification of the identity of VA internal and external providers matched to the CMS' PECOS. VA will reexamine all matches by comparing applicable data elements and will take steps to verify the internal and/or external provider's identity using VA systems and publicly available data sources.

B. Notice of and Opportunity to Contest Findings

VA Internal Providers: Before taking any adverse action based on reviews performed after receiving the results of the match, VA will provide due process to all internal providers in accordance with VA Handbook 5021.

VA External Providers: Where adverse information is uncovered during independent reviews performed as a result of the match, VA will process the action according to the terms of the program or agreement governing the provider's relationship with VA.

When notification to the individual is required, VA will provide an opportunity to explain the circumstances prior to making a final eligibility determination and provide appropriate due process based on the regulations and VA policies governing the VA Healthcare program under which the provider provides care.

VII. DISPOSITION OF MATCHED ITEMS

Except as necessary for purposes of controlling, adjudicating, and/or verifying the information for this program, neither VA nor CMS will create a separate file or SOR containing information for individuals who are involved in this specific matching program.

VA's input file is the only identifiable record CMS will use in the course of the matching program. This file will not be incorporated into CMS record keeping, will not be used by CMS for any purpose other than this matching program, and will not become a CMS agency record. CMS will provide the same protections to the VA input files as to CMS Privacy Act covered records. CMS will comply with the following restrictions on the information provided by VA:

1. Records provided by VA will remain the property of VA.
2. Records provided by VA will not be used to extract information concerning individuals therein for any purpose not specified in this Agreement.
3. Records provided by VA will not be duplicated or disseminated within or outside CMS, except as required by Federal law, without the written permission of V A.

CMS' files containing the data fields in Section V.C. of this Agreement will not be incorporated into VA record keeping, will not be used by VA for any purpose other than independently adjudicating the matched provider data, and will not become a VA record. VA will provide the same protections to CMS reports as to VA Privacy Act covered records. VA will comply with the following restrictions on the information provided by CMS:

1. Records provided by CMS will remain the property of the CMS.
2. Records provided by CMS will not be used to extract information concerning individuals therein for any purpose not specified in this Agreement.
3. Records provided by CMS will not be duplicated or disseminated within or outside VA, except as required by Federal law, without the written permission of CMS.

VA will retain CMS' electronic match file with identifying information for approximately 18 months to allow for adjudication of match results. After that time, the file(s) will be electronically erased and/or destroyed. CMS will retain the VA's input file with identifying information for approximately 90 days. After that time, the file(s) will be electronically erased and/or destroyed.

VIII. SECURITY PROCEDURES

CMS and VA will comply with the requirements of the Federal Information Security Management Act (FISMA), as amended by the Federal Information Security Modernization Act of 2014 (Pub. L. 113-283), codified at 44 United States Code

(U.S.C.) Chapter 35, Subchapter II; related OMB circulars and memoranda, such as Circular A-130, Managing Information as a Strategic Resource (July 28, 2016) and Memorandum M-17-12 Preparing for and Responding to a Breach of Personally Identifiable Information (January 3, 2017); National Institute of Standards and Technology (NIST) directives; and the Federal Acquisition Regulations, including any applicable amendments published after the effective date of this Agreement. These laws, directives, and regulations include requirements for safeguarding Federal information systems and PII used in Federal agency business processes, as well as related reporting requirements. Both agencies recognize and will implement the laws, regulations, NIST standards, and OMB directives including those published subsequent to the effective date of this Agreement.

FISMA requirements apply to all Federal agencies, contractors, organizations, or entities that possess or use Federal information, or that operate, use, or have access to Federal information systems on behalf of an agency. Both agencies are responsible for oversight and compliance of their contractors and agents.

A. Incident Reporting

If either CMS or VA experiences an incident involving the loss or breach of PII provided by the other under the terms of this Agreement, it will follow the incident reporting guidelines issued by OMB. In the event of a reportable incident under OMB guidance involving PII, the agency experiencing the incident is responsible for following its established procedures, including notification to the proper organizations (e.g., United States Computer Emergency Readiness Team, the agency's privacy office). In addition, the agency experiencing the incident (e.g., electronic or paper) will notify the other agency's Systems Security Contact named in this Agreement. If CMS is unable to speak with the VA Systems Security Contact within one hour or if for some other reason notifying the VA Systems Security Contact is not practicable (e.g., it is outside of the normal business hours), CMS will call VA's National Network Service Center toll free at 1-877-697-4889. If VA is unable to speak with CMS's Systems Security Contact within one hour, VA will contact CMS IT Service Desk at (800) 562-1963 or email [CMS IT Service Desk@cms.hhs.gov](mailto:CMS_IT_Service_Desk@cms.hhs.gov).

B. Breach Notification

CMS and VA will follow PII breach notification policies and related procedures issued by OMB. If the agency that experienced the breach determines that the risk of harm requires notification to affected individuals or other remedies, that agency will carry out these remedies without cost to the other agency.

C. Administrative Safeguards

CMS and VA will restrict access to the data matched, and to any data created by the match to only those users (e.g., employees, contractors, etc.) who need it to perform

their official duties in connection with the uses of the data authorized in this Agreement. Further, CMS and VA will advise all personnel who have access to the data matched and to any data created by the match of the confidential nature of the data, the safeguards required to protect the data, and the civil and criminal sanctions for noncompliance contained in the applicable Federal laws.

D. Physical Safeguards

CMS and VA will store the data matched and any data created by the match in an area that is physically and technologically secure from access by unauthorized persons at all times (e.g., door locks, card keys, biometric identifiers, etc.). Only authorized personnel will transmit or transport the data matched and any data created by the match. The Parties have established and will comply with appropriate safeguards for the data determined by a risk-based assessment of the circumstances involved.

E. Technical Safeguards

CMS and VA will process the data matched and any data created by the match under the immediate supervision and control of authorized personnel in a manner that will protect the confidentiality of the data, so that unauthorized persons cannot retrieve any data by computer, remote terminal, or other means. Systems personnel must enter personal identification numbers when accessing data on the agencies' systems. The Parties will strictly limit authorization to those electronic data areas necessary for the authorized analyst to perform his or her official duties. Authorized users must use two factor authentication when accessing agency systems where data is stored. VA will strictly limit authorization to those electronic files necessary for the authorized analyst to perform his or her official duties. Authorized users of the electronic secure transfer file protocol are identified by user identification and password and are individually tracked to safeguard against unauthorized system access or use. The file transfer method will require a multifactor authentication security code from the user. All data in transit will be encrypted using algorithms that meet the requirements of Federal Information Processing Standards (FIPS) 1402. VA's technical safeguards are based upon multiple security control families within NIST SP 800-53 Revision 5.

F. Application of Policies and Procedures

The Parties have adopted policies and procedures to ensure that each agency uses the information contained in its records or obtained from the other agency solely as provided in this agreement. The Parties will comply with these guidelines and any subsequent revisions.

G. Security Assessments

NIST Special Publication (SP) 800-37, as revised, encourages agencies to accept each other's security assessments in order to reuse information system resources and/or to accept each other has assessed security posture in order to share information. NIST SP 800-37 further encourages that this type of reciprocity is best achieved when agencies are transparent and make available sufficient evidence regarding the security state of an information system so that an authorizing official from another organization can use that evidence to make credible, risk-based decisions regarding the operation and use of that system or the information it processes, stores, or transmits. Consistent with that guidance, the parties agree to make available to each other upon request system security evidence for making risk based decisions. Either party may make requests for this information at any time throughout the duration or any renewal of this agreement.

IX. RECORDS USAGE, DUPLICATION, AND RE-DISCLOSURE RESTRICTIONS

VA and CMS will adhere to the following:

1. VA and CMS will use and access the data only for the purpose described in this Agreement.
2. VA and CMS will advise all personnel who will have access to the records matched and to any records created by the match of the confidential nature of the information, the safeguards required to protect the information, and the civil and criminal sanctions for noncompliance contained in applicable Federal laws.
3. VA and CMS will not duplicate or disseminate the data, within or outside their respective agency, without the written permission of the other agency except as outlined within this Agreement. VA will not give such permission unless Federal law requires disclosure, or the disclosure is essential to the matching program. For such permission, VA must specify in writing what data they are requesting be duplicated or disseminated and to whom, and the reasons that justify such duplication or dissemination.
4. VA employees, contractors, and agents who access, use, or disclose CMS data in a manner or purpose not authorized by this Agreement may be subject to civil and criminal sanctions pursuant to applicable Federal statutes.

X. ACCURACY ASSESSMENTS

VA currently estimates that 99% of the VA information to be used in this matching program is accurate for the purposes of this matching program.

CMS estimates that the CMS records to be used in this matching program are at least 99% accurate.

XI. COMPTROLLER GENERAL ACCESS

The Government Accountability Office (Comptroller General) may have access to all VA and CMS data, it deems necessary in order to monitor or verify compliance with this Agreement.

XII. REIMBURSEMENT/FUNDING

All work conducted by CMS to perform the matching program in accordance with this Agreement will be reimbursed by VA. VA will allocate sufficient funds annually for this project. The legal authority for transfer of funds is the Economy Act, 31 U.S.C. § 1535. Reimbursement will be transacted by means of a separate reimbursement instrument in accordance with the established procedures that apply to funding reimbursement actions.

XIII. DURATION OF AGREEMENT

A. Effective Date

The Effective Date of this Agreement is November 2, 2024, provided that VA has first provided the proposed matching program report to the Congressional committees of jurisdiction and OMB in accordance with 5 U.S.C. § 552a(o)(2)(A) and (r) and OMB Circular A-108 and, upon completion of OMB's advance review, VA published notice of the matching program in the Federal Register for at least thirty days (30) in accordance with 5 U.S.C. § 552a(e)(12).

B. Term:

The term of this Agreement will be eighteen (18) months.

C. Renewal

1. The Parties may, within three (3) months prior to the expiration of this Agreement, renew this Agreement for a period not to exceed one additional year if VA and CMS certify the following to the HHS and VA Data Integrity Boards (DIBs):
 - a. The matching program will be conducted without change; and
 - b. The Parties have conducted the matching program in compliance with this Agreement.
2. If either agency does not want to renew this Agreement, it must notify the other agency of its intention to not to continue at least 90 days before the term of the Agreement expires.

D. Modification

The Parties may modify this Agreement at any time by a written modification, agreed to by both Parties and approved by the chairperson of each agency's DIB.

Only nonsignificant changes can be made in a modification.

E. Termination

1. The Parties may terminate this Agreement at any time with the consent of both Parties. Either party may unilaterally terminate this Agreement upon written notice to the other party, in which case the termination shall be effective 90 days after the date of the notice, or at a later date specified in the notice.
2. Either party may make an immediate, unilateral suspension of the data flow or termination of this Agreement if CMS or VA:
 - a. Determines that there has been an unauthorized use or disclosure of information by the other agency;
 - b. Determines that there has been a violation of or failure to follow the terms of this Agreement; or
 - c. Has reason to believe that the other agency breached the terms for security of data.
3. If either Party suspends the data flow in accordance with this section, it will suspend the data until the other agency makes a definite determination of a breach.

XIV. LIABILITY

Each Party to this Agreement shall be liable for acts and omissions of its own employees.

Neither Party shall be liable for any injury to another Party's personnel or damage to another Party's property, unless such injury or damage is compensable under the Federal Tort Claims Act (28 U.S.C. § 1346(b)), or pursuant to other Federal statutory authority.

Neither Party shall be responsible for any financial loss incurred by the other, whether directly or indirectly, through the use of any data furnished pursuant to this Agreement.

XV. INTEGRATION CLAUSE

This Agreement constitutes the entire Agreement of the Parties with respect to its subject matter and supersedes all other data exchange agreements between the Parties that pertain to the disclosure of data between VA and CMS for the purposes described in this Agreement. The Parties have made no representations, warranties, or promises outside of this Agreement. This Agreement takes precedence over any other documents that may be in conflict with it.

XVI. PERSONS TO CONTACT

A. CMS Contacts

Program and Policy Issues:

Zabeen Chong
Director, Provider Enrollment Operations Group
Centers for Medicare & Medicaid Services
Mail Stop AR-19-51
7210 Ambassador Road
Baltimore, MD 21244
Telephone: (410) 786-1959
Email: Zabeen.Chong@cms.hhs.gov

Vani Annadata
Director, Division of Enrollment Systems
Provider Enrollment Oversight Group
Centers for Medicare & Medicaid Services
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7210 Ambassador Road
Baltimore, MD 21244
Telephone: (410) 786-0896
Email: Vani.Annadata@cms.hhs.gov

Carl Schell
IT Specialist
Provider Enrollment Oversight Group
Centers for Medicare & Medicaid Services
7500 Security Boulevard, AR 08-03
Baltimore MD 21244-1849
Telephone: (410) 786-0986
E-mail: Carl.Schell@cms.hhs.gov

Privacy and Agreement Issues:

Barbara Demopulos
CMS Privacy Act Officer
Division of Security, Privacy Policy and Governance
Information Security and Privacy Group
Office of Information Technology
Centers for Medicare & Medicaid Services
7500 Security Boulevard
Mail Stop: N1-14-40
Baltimore, MD 21244-1850
Telephone: (443) 608-2200
Email: Barbara.Demopulos@cms.hhs.gov

Security Issues:

Leslie Reinhold
Information System Security Officer
Center for Program Integrity
Centers for Medicare & Medicaid Services
7500 Security Boulevard
Mail Stop: AR-24-58
Baltimore, MD 21244-1849
Telephone: (410) 786-7863
E-mail: Leslie.Reinhold@cms.hhs.gov

Agreement Coordination:

Dawn Wilkins
Division of Quality and Compliance
Provider Enrollment Oversight Group
Centers for Medicare & Medicaid Services
7500 Security Boulevard, B3-04-26
Baltimore MD 21244-1849
Telephone: (410) 786-4588
E-mail: Dawn.Wilkins@cms.hhs.gov

B. VA Contacts

Program PolicyIssues:

Maggie Drye
Deputy Executive Director
Office of Business Oversight
Department of Veterans Affairs
1615 Woodard Street
Austin, TX 78772
Telephone: (512) 386-2218
Email: Maggie.Drye@va.gov

Program and Agreement Issues:

Elizabeth Morales
Director, Program Integrity Office
Office of Business Oversight
Department of Veterans Affairs
1615 Woodard Street
Austin, TX 78772
Telephone: (512) 673-8960
Email: Elizabeth.Moralesl@va.gov

Privacy Issues:

Stephania Griffin
Director, Information Access, and Privacy Office

Veterans Health Administration
Department of Veterans Affairs
810 Vermont Avenue NW
Washington, DC 20420
Telephone: (704) 245-2492
Email: Stephania.Griffin@va.gov


Security Issues:

Anthony McFarlane
Information System Security Officer, Health Administration Center
Office of Information and Technology, Office of Information Security
Information Security Operations, Enterprise Security Operations
Department of Veterans Affairs
3773 Cherry Creek Drive
Denver, CO 80209
Telephone: (303) 398-7155
Email: Anthony.Mcfarlane2@va.gov

Ashton Botts
Information System Security Officer, Health Administration Center
Office of Information and Technology, Office of Information Security
Information Security Operations, Enterprise Security Operations
Department of Veterans Affairs
3773 Cherry Creek Drive
Denver, CO 80209
Telephone: (303) 398-7155
Email: Ashton.Botts@va.gov

XVII. APPROVALS**A. DEPARTMENT OF VETERANS AFFAIRS - OFFICE OF MANAGEMENT**

The authorized approving official, whose signature appears below, accepts and expressly agrees to the terms and conditions expressed herein, confirms that no verbal agreements of any kind shall be binding or recognized, and hereby commits their respective organization to the terms of this Agreement.

**MARGARET
DRYE**

Digitally signed by
MARGARET DRYE
Date: 2024.07.10 16:31:21
-05'00'

Date: **July 10, 2024**

Maggie Drye
Deputy Executive Director, Office of Business Oversight
Office of Management
Department of Veterans Affairs

B. DEPARTMENT OF VETERANS AFFAIRS - VETERANS HEALTH
ADMINISTRATION

The authorized approving official, whose signature appears below, accepts and expressly agrees to the terms and conditions expressed herein, confirms that no verbal agreements of any kind shall be binding or recognized, and hereby commits their respective organization to the terms of this Agreement.

**STEVEN
LIEBERMAN**

Digitally signed by
STEVEN LIEBERMAN
Date: 2024.07.12 17:31:33
-04'00'

Date: _____

Steven L. Lieberman, M.D
Deputy Under Secretary for Health
Veterans Health Administration
Department of Veterans Affairs

C. CENTERS FOR MEDICARE & MEDICAID SERVICES PROGRAM OFFICIAL

The authorized program official, whose signature appears below, accepts and expressly agrees to the terms and conditions expressed herein, confirms that no verbal agreements of any kind shall be binding or recognized, and hereby commits their respective organization to the terms of this Agreement.

Zabeen G.
Chong -S

Digitally signed by
Zabeen G. Chong -S
Date: 2024.07.16
11:02:04 -04'00'

Date: _____

Zabeen Chong
Director, Provider Enrollment Operations Group
Center for Program Integrity
Center for Medicare & Medicaid Services

D. CENTERS FOR MEDICARE & MEDICAID SERVICES APPROVING
OFFICIAL

The authorized approving official, whose signature appears below, accepts and expressly agrees to the terms and conditions expressed herein, confirms that no verbal agreements of any kind shall be binding or recognized, and hereby commits their respective organization to the terms of this Agreement.

Leslie Nettles -S Digitally signed by Leslie Nettles-S
Date: 2024.07.16 12:26:59 -04'00'

Date: _____

Leslie Nettles

Director, Division of Security and Privacy Policy and Oversight, and
Senior Official for Privacy
Information Security Privacy Group
Office of Information Technology
Centers for Medicare & Medicaid Services

E. HEALTH AND HUMAN SERVICES DATA INTEGRITY BOARD

The authorized DIB official, whose signature appears below, accepts and expressly agrees to the terms and conditions expressed herein, confirms that no verbal agreements of any kind shall be binding or recognized, and hereby commits their respective organization to the terms of this Agreement.

Cheryl R. Digitally signed by Cheryl
Campbell -S R. Campbell -S
Date: 2024.09.26
13:23:24 -04'00' _____ Date: _____

Cheryl R. Campbell
Chairperson
HHS Data Integrity Board
U. S. Department of Health and Human Services

F. DEPARTMENT OF VETERANS AFFAIRS DATA INTEGRITY BOARD

The authorized DIB official, whose signature appears below, accepts and expressly agrees to the terms and conditions expressed herein, confirms that no verbal agreements of any kind shall be binding or recognized, and hereby commits their respective organization to the terms of this Agreement.



JOHN OSWALT
2024.08.26 13:47:56
-04'00'

Date: _____

John Oswalt
Chair, Data Integrity Board
Department of Veterans Affairs

Attachment 1

Cost Benefit Analysis for the Matching Program (# 2024-21/2402) Between the Department of Veterans Affairs (VA) and the Centers for Medicare and Medicaid Services (CMS) for Disclosure of Information to Support the VA's Seek to Prevent Fraud, Waste, and Abuse Initiative

This cost benefit analysis (CBA) provides information about the costs and benefits of conducting the disclosure of information to support the VA Seek to Prevent Fraud, Waste and Abuse initiative. The CBA demonstrates that the results in the matching program will provide an estimated savings of more than \$17M over the 18-month period of the agreement and an increase in public trust in VA as a steward of taxpayer dollars. Furthermore, VA will achieve benefits that cannot be estimated in monetary term. Some of the adverse actions that VA will take against VA providers as a result of this matching program will concern patient safety issues, which are a moral and ethical imperative, and are non-quantifiable.

This matching program will not involve avoiding or recovering improper payments; consequently, all benefits relied on to support Data Integrity Board (DIB) approval are described at the end of the CBA under the heading "Other Benefits."

I. MATCH OBJECTIVE

Under this matching program, VA internal and external providers will be matched against the database of Medicare providers and suppliers who have been revoked by CMS pursuant to 42 C.F.R. § 424.535. VA will perform additional validations/investigations to determine if providers and suppliers are in compliance with VA program requirements. VA will take appropriate action or refer cases to OIG for action.

II. BACKGROUND

This matching program is being created subsequent to CMS Computer Matching Agreement (CMA) No. 2022-21/ Health and Human Services (HHS) CMA No. 2201, which confirmed there are suppliers and providers that provided care to Veterans within VA programs that have had their Medicare billing privileges revoked. The results of the CMA confirmed the value in continuing the program, enabling VA to safeguard resources and protect and enhance patient safety for Veterans.

Without this matching program, VA would be unable to efficiently identify the specific providers and suppliers who have had their Medicare billing privileges revoked. The match allows VA to capitalize on the extensive enrollment screening performed by CMS that would otherwise need to be duplicated and paid for by VA.

VA's and CMS's matching program costs will be primarily labor to perform data programming, matching, and review and adjudication of results.

III. METHODOLOGY

The costs for this matching program will be primarily labor costs associated with the programming development necessary for VA and CMS to obtain, match and exchange this data, as well as labor costs to review and adjudicate the match results. VA and CMS estimated the number of hours for its staff to complete the matching program based on experience with the prior matching program. CMS also collected cost estimates provided by its current contractors for this proposed effort. The findings from these activities serve as the basis for the cost estimates for this matching program. To estimate the government staff personnel costs, VA and CMS used the 2024 salary table with locality of pay for either Austin, TX or Washington, DC, Baltimore, MD and Northern Virginia area from the Office of Personnel Management.¹ To calculate fringe benefits and overhead, VA and CMS doubled the hourly wage earning.

VA used the following assumptions in development of the cost benefit analysis:

- The matching program will use processes currently in place by CMS for identification of matched enrolled providers and suppliers.
- VA and CMS will obtain, exchange and store data using existing tools and resources with no financial impact.
- For purposes of estimating VA's costs associated with the matching program, the match result rates will be similar to those identified in the CMA No. 2022-21/HHS CMA No. 2201.
- Any systems change refinements following the implementation of this matching program are not considered in projections.
- All personnel costs and savings are rounded to the nearest dollar.

IV. COSTS

A. Key Element 1: Personnel Costs

1. For Agencies -

- a. Source Agency (CMS): CMS will incur costs for data matching along with contractor costs for the use of an electronic secure transfer file protocol once VA sends the data file to be matched. Staff performing the work will include employees predominately located in the Washington-Baltimore-Arlington, DC-MD-VA-WV-PA geographic locality. CMS used estimates based on 2024 OPM payment schedules. The Washington-Baltimore-Arlington, DC MD-VA-WV-PA geographic locality, hourly rates used in CMS' estimation for a GS-12, Step 3 is \$50.70 (\$101.40 rate plus fringe).

CMS staff will spend an estimated 2,145 hours for a GS-12, Step 3 to prepare and extract the data to be sent to VA for the 18-month period covered under

¹ <https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/2024/general-schedule/>

the Agreement. CMS estimates that total personnel costs for data matching will amount to \$217,503 (2,145 hours x \$101.40=\$217,503).

In addition to personnel costs for data matching, CMS will incur contactor costs for the electronic secure transfer file protocol. This includes programming, verification and validation and production of a final deliverable. For contractor personnel, the estimate is based on historical cost estimates from the previous CMA for this effort. The cost is based on data exchange occurrences (estimated at \$11,770 per occurrence). CMS contractor costs are estimated at \$70,620 (6 data exchanges x \$11,770=\$70,620). The total estimated source agency (CMS) personnel costs are \$288,123 (\$217,503 for data matching + \$70,620 for contractor costs).

- b. Recipient Agency (VA): VA will incur personnel costs prior to each match and ongoing personnel costs associated with reviewing and adjudicating match results. Staff performing the work include employees predominantly located in the Austin-Round Rock, TX geographic locality. Some employees performing the work will be geographically dispersed; however, the Austin Round Rock, TX locality was used for all estimations because it represents the location where a majority of the work will be performed. Also, the Austin-Round Rock, TX locality serves as a reasonable baseline and expected locations of other employees had negligible locality pay variances compared to Austin-Round Rock, TX. VA used estimates based on 2024 OPM payment schedules. The Austin-Round Rock, TX geographic locality, hourly rates used in VA's estimations are as follows: GS-13, Step 5 is \$57.68 (\$115.36 rate plus fringe); and a GS-15, Step 5 is \$80.17 (\$160.34 rate plus fringe). VA staff will spend an estimated 168 hours for a GS-13, Step 5 to prepare and extract the data to be sent to CMS for the 18-month period covered under the Agreement. VA estimates that total personnel costs for data preparation and extraction will amount to \$19,380 (168 hours x \$115.36 =\$19,380).

In addition to personnel costs for data preparation and extraction prior to each match, VA will incur ongoing personnel costs to review and adjudicate the match results. VA staff will spend approximately 440 hours (436 hours for a GS-13, Step 5; and 4 hours for a GS-15, Step 5) performing these functions. VA estimates the total personnel costs for reviewing and adjudicating the match results for the 18-month period covered under the Agreement, will amount to \$50,938 (436 hours x \$115.36 + 4 hours x \$160.34=\$50,938). The total estimated recipient agency (VA) personnel costs are \$70,318 (\$19,380 for data preparation and extraction+ \$50,938 for review and adjudication of match results = \$70,318).

- c. Justice Agencies (Treasury/DOJ): *N/A*. VA and CMS do not anticipate any

personnel costs for Justice Agencies as part of this matching program.

2. For Clients -

N/A. VA and CMS do not anticipate any personnel costs for Clients as part of this matching program.

3. For Third Parties -

CMS does not anticipate any personnel costs for Third Parties as part of this matching program.

For VA, Third Parties include providers and suppliers. For individuals who are matched, there may be a need for those individuals to submit data to VA for validation purposes. VA estimates 10 hours of work performed by the equivalent of a GS-15, Step 5. The estimated cost is \$1,603 (10 hours x \$160.34 = \$1,603).

4. For the General Public -

N/A. VA and CMS do not anticipate any personnel costs for the General Public as part of this matching program.

B. Key Element 2: Agencies' Computer Costs

1. **Source Agency (CMS):** CMS anticipates \$0 for hardware and software computer costs. CMS personnel and contractors will program the system and prepare the response file to be transmitted back to VA, and those costs are reflected as personnel costs in Key Element 1. No additional tools, software, or processing capacity will be required. Further, there are no facility or other direct tangible item costs for this matching agreement.
2. **Recipient Agency (VA):** VA anticipates \$0 for computer costs. VA personnel will prepare and transmit the data to be matched, which was included in VA personnel costs outlined in Key Element 1. No additional tools, software, or processing capacity will be required. Further, there are no facility or other direct tangible item costs for this matching agreement.
3. **Justice Agencies (Treasury/DOJ):** *N/A.* VA and CMS do not anticipate any computer costs for Justice Agencies as part of this matching program

Total costs for the matching program are estimated to be \$360,044. This represents the personnel costs of CMS (\$288,123), VA (\$70,318), and Third Parties (\$1,603). ($\$288,123 + \$70,318 + \$1,603 = \$360,044$)

V. BENEFITS

C. **Key Element 3: Avoidance of Future Improper Payments- N/A to this matching program**

D. **Key Element 4: Recovery of Improper Payments and Debts - N/A to this matching program**

VI. OTHER BENEFITS

Cost Avoidance to VA, by Leveraging CMS's APS Program

In 2012, CMS launched the Advanced Provider Screen (APS) program. APS is a fraud prevention solution that leverages a host of commercial and government data sources to continuously monitor providers and suppliers and generate alerts. APS involves taking the results of screening of the various databases and using the information to revoke the billing privileges for providers and suppliers. CMS engaged a contractor to support APS at a 5-year cost of \$232.3 million (base year contract with four option years).

In the absence of this matching Agreement, VA would need to award a similar contract to achieve the same goal of advanced screening for VA providers. To estimate the cost of a contract vehicle, VA first adjusted the CMS 5-year contract down to an 18-month period ($\$232.3\text{M}/60 \text{ months} \times 18 \text{ months} = \69.7M). VA estimates that, if it had its own contract for provider screening, that contract would cost about twenty five percent of the cost of the CMS contract because VA's provider population is smaller. VA will save \$17.4M by not awarding its own provider screening contract ($\$69.7\text{M} \times .25 = \17.4M ; after deducting the \$360,044 cost of conducting this matching program for 18 months, the estimated cost savings is approximately \$17 million).

In addition, VA has a non-quantifiable cost avoidance benefit for potentially reducing malpractice cases against VA resulting from inappropriate care rendered by providers who had their billing privileges revoked by Medicare but continued to treat Veterans. **Improvements in VA Service Delivery and Resources**

VA will benefit from the improved patient safety this matching program will enable. The matching program will contribute to reduced reputational risk for the Agency and increase VA clients' confidence in VA healthcare. VA clients are Veterans and certain other beneficiaries receiving healthcare under various VA programs, which include care administered by internal providers operating in VA facilities as well as care procured from external providers and suppliers in the community. The matching program enables VA to take appropriate action to remove or end contractual relationships with providers who should not be serving Veterans. VA Clients benefit through improvements in service delivery relating to patient care and the avoidance of adverse patient outcomes. Patient safety is of utmost importance to the VA mission and its importance cannot be measured in dollars.

VII. CONCLUSION

The computer match will result in information that will enable VA to identify the specific providers and suppliers who have had their Medicare billing privileges revoked and to perform additional validation/investigation to determine if the providers and suppliers are in compliance with VA program requirements. VA will take appropriate action or refer cases to OIG for action.

Cost Benefit Analysis for the Matching Program(# 2024-21/2402) Between the
Department of Veterans Affairs (VA) and the Centers for Medicare and
Medicaid Services (CMS) for Disclosure of Information to Support the VA's
Seek to Prevent Fraud, Waste, and Abuse

Chart 1: Summary of Benefits and Costs for 18-Month Matching Period

Benefits

Agencies	
CMS	\$0
VA	\$17,400,000
Third parties	\$0
Amount Expected to Save or Recover in 18-month matching period	\$17,400,000
<u>Total Benefits:</u>	\$17,400,000

Personnel Costs

Agencies	
CMS	\$288,123
VA - Data Extraction	\$19,380
VA - Due process	\$50,938
Justice	\$0
Clients	\$0
VA Providers (third parties)- obtaining supporting documentation	\$1,603
General Public	\$0
<u>Computer/Tangible Costs</u>	\$0
<u>Total Costs:</u>	\$360,044

Benefit-to-Cost Ratio: 48:1

Chart 2: Summary of Sources and Figures for Personnel Costs

<u>Benefits Projected</u>	<u>Source</u>	<u>Data</u>
Agencies		
CMS	n/a	n/a
VA	Office of Management, Office of Business Oversight, Program Integrity Office	CMS Advanced Provider Screening (APS) award information from https://www.g2xchange.com/health/
<u>Costs Projected</u>		
<u>Personnel Costs</u>		
Agencies		
CMS - staff data matching	Office of Personnel Management (OPM) for Washing, D.C., Baltimore, MD, GS-12, Step 3	Hourly wage: \$50.70 Fringe benefits/overhead: \$101.40 2,145 hours= \$217,503
CMS - contractor support	Historical cost estimate from previous CMA	Six Data Exchanges within 18 months 1 Data Exchange = \$11,770 6 Data Exchanges = \$70,620
VA - Data preparation and extraction	OPM for Austin-Round Rock TX, GS-13, Step 5	Hourly wage: \$57.68 Fringe benefits/overhead: \$115.36 168 hours= \$19,380
VA - Review and adjudication	OPM for Austin-Round Rock TX, GS-15, Step 5; GS-13, Step 5	Hourly wage: \$80.17, \$57.68 Fringe benefits/overhead: \$160.34, \$115.36 440 hours = \$70,318
Justice	n/a	n/a
Clients	n/a	n/a
VA Providers (third parties) - obtaining information for validation purposes	OPM for Austin-Round Rock TX, GS-15, Step 5	Hourly wage: \$80.17 Fringe benefits/overhead: \$160.34 10 hours= \$1,603
General Public	n/a	n/a

Signatory Authority

**MARGARET
DRYE**

Digitally signed by
MARGARET DRYE
Date: 2024.07.10 16:34:41
-05'00'

Maggie Drye
Deputy Executive Director
Office of Business Oversight
Department of Veterans Affairs

Approve/Disapprove

MUrray, EdWard

Digitally signed by Murray,
Edward
Date: 2024.07.11 16:51:17
-04'00'

Edward J. Murray
Acting Assistant Secretary for Management and
Chief Financial Officer
Department of Veterans Affairs